PATIENT'S PERSONAL MEDICAL HISTORY FOR NEUROPSYCHOLOGICAL EVALUATION

CONFIDENTIAL RECORD: The following information is confidential and will be used by your doctor in decisions regarding your care. Please answer all questions to the best of your knowledge. First Name: ___ MI: AGE: Height: _____ Weight: ____ Marital Status: S M W D Race: ____ Handedness _____ Language Highest level of school completed: _____ Referred by: PRIMARY COMPLAINT / DESCRIBE THE SYMPTOMS YOU ARE EXPERIENCING. ONSET OF SYMPTOMS: EVENT ASSOCIATED WITH ONSET? YES NO IF YES, PLEASE DESCRIBE IF YES, DATE OF INJURY: IS THE REASON FOR YOUR VISIT THE RESULT OF AN INJURY? YN **WORK RELATED?** Y N **AUTO ACCIDENT?** OTHER? ARE YOU CURRENTLY INVOLVED IN ANY LEGAL ISSUES? Y N IF YES, DESCRIBE: SYMPTOM COURSE? CONSISTENT **IMPROVED** WORSENED NO WORD FINDING DIFFICULTIES? NO ATTENTION/CONCENTRATION DIFFICULTIES? YES YES TROUBLE WITH COMPLEX TASKS? YES NO **MEMORY DIFFICULTIES?** YES NO ARE YOU CURRENTLY DRIVING? YES NO IF YES, HAVE YOU HAD ANY RECENT TICKETS? YES NO **RECENT ACCIDENTS?** YES NO DO YOU MANAGE YOUR OWN MEDICATIONS? WITH ASSISTANCE? **YES** YES NO NO WITH ASSISTANCE? DO YOU MANAGE YOUR OWN FINANCES? YES NO YES NO WHAT IS YOUR CURRRENT LIVING SITUATION (HOME, APARTMENT, ASSISTED LIVING, etc.)?___ WHAT IS YOUR EMPLOYMENT STATUS?___ CURRENT OR MOST RECENT EMPLOYER? POSITION? NUMBER OF YEARS? DESCRIBE YOUR CURRENT MOOD: _____ DO YOU HAVE A HISTORY OF PSYCHIATRIC ILLNESS? YES NO IF YES, Treatment History? Medication Therapy Hospitalization DO YOU HAVE A HISTORY OF SUBSTANCE ABUSE? NO IF YES, WHAT TYPE OF TREATMENT DID YOU HAVE? LIST SUBSTANCES ____ ALCOHOL USE? YES NO (IF YES AMOUNT AND FREQUENCY) CURRENT USE? LIST ANY MEDICATIONS YOU ARE CURRENTLY TAKING INCLUDE AMOUNT AND FREQUENCY: (INCLUDE PRESCRIBED AND NON PRESCRIBED DRUGS) LIST ANY SURGERIES WITH DATES WHICH YOU HAVE HAD: SURGERY: DATE: SURGERY:

ASSESSMENT OF BRAIN PATIENT

				DATE:	_		ILLNESS:			_	DATE
					-	_				_	
OO YOU HAVE OR H	AVE YES		EVER HAD:	(CIRCLE YOUR A	NSWEF YES		ES, GIVE DATE OF	OCCURRENCE) OD CLOTS	YES	NO	
STHMA		NO		TUBERCULOSIS		NO	COL			NO	-
LEEDING TENDENCY	YES			THYROID DISEASE	YES			DDER INFECTION		NO	
EIZURES	YES	NO		ANXIETY	YES	NO	DEF	RESSION	YES	NO	
IDS AND/OR HIV	YES	NO		DIABETES	YES	NO	STC	MACH ULCERS	YES	NO	
DNEY DISEASE	YES	NO		GLAUCOMA	YES	NO	ANE	URYSM	YES	NO	
GH BLOOD PRESSURE	YES	NO		CHOLESTEROL	YES	NO	HIG	H FEVER AFTER SURG	GERY YES	NO	7===
RAIN TUMOR	YES	NO		RHEUMATIC/CONGENI			YES NO				
EPATITIS	YES	NO		TYPE?		CAN	CER / LEUKEMIA	YES NO	TYP	E?	
EART DISEASE	YES	NO		TYPE?	_						
URRENT COMPLA	AINTS	S :									
HEADACHES		-12	YES	NO	VISI				NO		
SPEECH			YES	NO		KNE		. — -	NO		
NUMBNESS			YES	NO	IINC	SLING	j	YES 1	NO		
NY CHANGES IN W HAT RECENT TEST			YOU HAD	YES NO FOR THE ABOVE SY			es, explain Where:	S			
					<i>/</i> // L.		VVIILIKE.				
CT SCAN			YES	NO							
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MRI MRA/MRV	.,		YES YES	NO							
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MICHAEL R. KNOX, PH.D. CLINICAL NEUROPSYCHOLOGIST

WILLIAM R. FRANCIS, JR., M.D., M.B.A.
ORTHOPEDIC SPINE SPECIALIST

Dear Patient,			
Welcome to the Greater Houston Newith Michael Knox, Ph.D. Your app	• •	We have scheduled your appointmen	nt
DAY	DATE	at	

Enclosed you will find a map to our office and several other forms that need to be completed for your appointment, process your account and assist us in filing your insurance benefits. Please take the time to review and complete all documents.

Your appointment will be approximately 3 - 4 hours long. Please being your glasses, hearing aids or any other type of aid you may need to assist you with your testing. Also, Dr. Knox would like a family member to be present for the first 30 minutes of the appointment for a group consultation.

Please be advised that we have a "NO SHOW" policy which charges you a fee should you not cancel your appointment 24 hours in advance.

Feel free to contact our office should you have any questions. We are happy to assist you!

QQQK +>HD

Greater Houston Neurosurgery Center

9200 New Trails Dr. Suite 100 The Woodlands, TX 77381 281-364-9509 281-364-0984 fax



From the NORTH:

I45 South – take the Research Forest exit and turn right.
Drive 1.8 miles and turn left onto New Trails (there is a light).
Just beyond the first intersection, you will see the parking lot entrance.
The building is on the corner of New Trails and Technology Forest.
We are on the first floor of a brown stucco building.

From the SOUTH:

I45 North – take the Research Forest exit and turn left, under the highway. Drive 1.8 miles and turn left onto New Trails (there is a light). Just beyond the first intersection, you will see the parking lot entrance. The building is on the corner of New Trails and Technology Forest. We are on the first floor of a brown stucco building.

THE GREATER HOUSTON NEUROSURGERY CENTER, P.A.

TODAY'S DATE:					
		PATIENT INFO	RMATION		
NAME:LAST ADDRESS:STREET	FIRST	INITIAL	_ DATE OF	FEMALE	
CITY PREFERRED PHONE #:			_ OTHER F	PHONE #	
EMERGENCY CONTACT					
EMERGENCY CONTACT	T PHONE NUME	BER:	<u> </u>		
MARITAL STATUS:	SINGLE	MARRIED	WIDOWED	DIVORCED	SEPARATED
EMPLOYER'S NAME:			PHONE:		
ADDRESS:STREET					
WHO REFERRED YOU	TO OUR OFFIC	<u>=:</u>	PHARMA	STATI ACY NAME:	E ZIP
ADDRESS:STREET			LOCATIO	ON:	
CITY PHONE:	STATE	ZIP	_ PHONE:		— III — S II II II I
IS THIS YOUR PCP? (PRIMARY CARE PHYSICIAN)	YES PLEASE CHEC	□ NO ck one			
PL PHYSICIAN'S NAME:			CE TO THE FOLI		=
ADDRESS:			_ PHONE,		
STREET PHYSICIAN'S NAME:		CITY	_ PHONE:	STATE	
ADDRESS:STREET		CITY		STATE	E ZIP

THE GREATER HOUSTON NEUROSURGERY CENTER, P.A.

TODAY'S DATE:

INS	URANCE INFORMA	TION
THIS INFORMATION IS NECESSARY	IN ORDER TO FILE	YOUR INSURANCE ELECTRONICALLY.
PLEASE PROVIDE A	ALL INSURANCE CARDS T	O THE RECEPTIONIST
PRIMARY INSURANCE COMPANY		
INCURANCE COMPANY		PHONE#:
INSURANCE COMPANY		
CLAIMS ADDRESS:		
STREET SUBSCRIBER ID#:	CITY	STATE ZIP GROUP #:
INSURED'S NAME:		_ SEX: FEMALE MALE
SS#:		PLEASE CHECK ONE DATE OF BIRTH:
EMPLOYER'S NAME:		PHONE:
ADDRESS:		
STREET	CITY	STATE ZIP
RELATIONSHIP TO PATIENT:		=

SECONDARY INSURANCE COMPANY		
		_ PHONE#:
INSURANCE COMPANY		
CLAIMS ADDRESS:		
STREET SUBSCRIBER ID#:	CITY	STATE ZIP GROUP #:
INSURED'S NAME:		SEX: FEMALE MALE
SS#:		PLEASE CHECK ONE DATE OF BIRTH:
EMPLOYER'S NAME:		PHONE:
ADDRESS:	CITY	STATE ZIP
RELATIONSHIP TO PATIENT:		_

THE GREATER HOUSTON NEUROSURGERY CENTER, P.A. 9200 NEW TRAILS DRIVE, SUITE 100 THE WOODLANDS, TEXAS 77381 OFFICE (281) 364-9509 FAX (281) 364-0984

PATIENT'S NAME:	
CONSENT AND AUTHORIZATION FOR TRI	EATMENT
I (We) hereby grant permission to authorize and direct the Neurosurgery Center, P.A. to perform such medical projudgment advisable or necessary for the treatment and/o contemplated, and (2) any conditions, not now recognized during the course of such treatment or care.	r care of (1) any conditions now recognized or
I (We) acknowledge that no warranty or guaranty has be from such treatment and/or care, that I (we) understand t treatment and that I (we) have fully informed myself (or Consent and Authorization and do hereby freely give my	the nature and purpose of the above authorized urselves) of the contents and effects of the above
Signature:	Date:
Signer's Name:	
Witness' Signature:	Relationship to Patient
ACKNOWLEDGEMENT OF NO SHOW AND	LATE CANCELLATION POLICY:
The policy for no shows and/or late cancellations is appointments not kept or cancelled at least one day be due from the patient and is not payable by insura	prior to the appointment date. This fee will
There will be a charge of \$250 for all no shows or leading payable by the 15th of the following month or requiappointment, whichever comes first.	
I (we) understand and agree to this policy.	
Signature:	Date:
Signer's Name:	
	Relationship to Patient

Witness' Signature:_____

THE GREATER HOUSTON NEUROSURGERY CENTER, P.A. 9200 NEW TRAILS DRIVE, SUITE 100 THE WOODLANDS, TEXAS 77381 OFFICE (281) 364-9509 FAX (281) 364-0984

PATIENT'S NAME:		`	,		

ASSIGNMENT OF BENEFITS TO THE GREATER HOUSTON NEUROSURGERY CENTER, P.A.

I hereby authorize The Greater Houston Neurosurgery Center, P.A.to bill my insurance carrier or any other payment source and take any and all action necessary to collect such benefits to include, but not limited to appeal. I assign all benefits, rights, appeal rights and authorizations so that Greater Houston Neurosurgery Center, P.A. shall receive payment directly for any benefits otherwise payable to me for all claims for services provided or submitted prior to, or after, the date provided on this form. These rights include the right to act on my behalf with respect to initial determinations of claims, to pursue appeals of benefits, to obtain records, and to claim on my behalf such medical or other health care service benefits, insurance or health care benefit plan reimbursement and to pursue any other applicable remedies, all in connection with medical or other health care expense(s) as the result of services provided by Greater Houston Neurosurgery Center, P.A., Peter Shedden, M.D. William Francis, M.D., Dare Adewumi, M.D., Robert Borden, P.A., Mary Vollmert, P.A., Kevin Schumann P.A. and/or Michael Knox PhD.

I understand that I am personally and financially responsible for payment for all services rendered and that I am obligated to pay all charges denied by my insurance carrier. This assignment and authorization in no way releases me from said responsibility and imposes no obligation on Greater Houston Neurosurgery Center, P.A. to collect money on my behalf. If I receive funds due to GHNC, I shall hold them as a fiduciary trustee and immediately turn them over to GHNC. If I fail to turnover any monies owed to Greater Houston Neurosurgery Center, P.A., then I understand Greater Houston Neurosurgery Center, P.A. has the ability to pursue collections against me. In the event this account is assigned to collection, I agree to pay all costs of collection, including reasonable attorney fees. It is not considered a breach of confidentiality to release information to an attorney or insurance company in order to secure or collect payment.

I have read, understand and agree to all the information above. A photocopy of this agreement may be used as though it were an original.

The Assignment of Benefits shall be effective until revoked by me in writing. Such revocation shall have a prospective effect only.

Signature:	Date:
Signer's Name:	
Signature of Primary Insured:	Relationship to Patient
Patient Social Security No.:	Relationship to Patient

THE GREATER HOUSTON NEUROSURGERY CENTER, P.A. 9200 NEW TRAILS DRIVE, SUITE 100 THE WOODLANDS, TEXAS 77381 OFFICE (281) 364-9509 FAX (281) 364-0984

CONSENT TO DISCLOSE PRIVATE HEALTHCARE INFORMATION FOR TREATMENT, PAYMENT, AND/OR HEALTHCARE OPERATIONS

I,, Social Security Number	
, hereby authorize and consent for THE G	
NEUROSURGERY CENTER, P.A., 9200 New Trails Drive,	Suite 100, The Woodlands, Texas
77381 to release any and all medical, and/or psychological re	ports or records, including, but not
limited to, medical notes, physician narratives, office notes, of	pperative notes, discharge
summaries, Doctor's orders, Nurse's notes, lab reports, test re	esults, physical therapy progress
notes, patient progress reports, diagnosis, post-operative repo	rts, post-operative diagnosis,
pathology reports, x-rays, MRI's, any records reflecting treatr	nent for substance abuse, mental
illness, AIDS, HIV virus, alcohol abuse, including any x-rays	s, diagnostic studies, laboratory
slides, clinical abstract, histories, charts, and other informatio	on contained therein, any documents
and opinions relevant to past, present, or future physical and	mental condition, treatment, care or
hospitalizations, and any other personal health information re	garding my medical care as
necessary to carry out treatment, obtain payment, and/or cond	luct other healthcare operations.

The release of the matters listed above is being authorized for purposes of obtaining medical treatment, payment for such services and other health care operations.

A copy of this authorization is agreed by the undersigned to have the same effect and force as an original.

This Consent to Disclose Private Healthcare Information may be revoked in writing. However, such revocation shall not be effective on an entity that has taken action in reliance upon this Consent prior to its revocation and/or if this Consent was obtained as a condition of obtaining insurance and a law provides the insurer the right to contest a claim under the policy.

Any person, firm, or entity that releases matters pursuant to this authorization is hereby absolved from any liability that might otherwise result from the release of those matters.

I further acknowledge that the information used or disclosed pursuant to this authorization may be subject to redisclosure by the recipient and no longer be protected by the privacy regulations.

I further understand that I have the right to review THE GREATER HOUSTON NEUROSURGERY CENTER, P.A.'s privacy notice and to request restrictions.

Signed this d	ay of	
		Signature
		Printed Name
		Date
		Signer's Relationship to Patient (if other than Patient)
		Patient's Name
		Date of Birth
		Social Security Number
Special Restrictions	s:	
		

COMPLIANCE ASSURANCE NOTIFICATION FOR OUR PATIENTS

To Our Valued Patients and/or their Legal Guardians:

Healthcare fraud and abuse have been identified as a national problem costing taxpayers literally billions of dollars each year. We want you to know that all of our employees, managers and physicians continually undergo training so that they may understand and comply with government rules and regulations regarding Medicare, Workers Compensation, federal and state healthcare laws and regulations. We strive to achieve the very highest standards of ethics and integrity in performing services for our patients.

It is our policy to properly determine accurate compensation for our services in accordance with the governmental rules, laws and regulations. We want to ensure that our practice never contributes in any way to the growing problem of improper expenditures. As part of this plan, we have implemented a Compliance Program that we believe will help us prevent any Medicare, Workers Compensation service or billing errors and/or federal or state law violations.

We also know that we are not perfect! Because of this fact, our policy is to listen to our employees and our patients without any thought of penalization if they feel that an event in any way compromises our policy of integrity. More so, we welcome your input regarding any billing or service problem so that we may remedy the situation promptly.

Thank you for being one of our highly valued patients.

Sincerely,

THE GREATER HOUSTON NEUROSURGERY CENTER, P.A.

Our Compliance Pledge

Our office is fully committed to compliance
with all Medicare, Workers Compensation
and other federal and state laws, rules and regulations.

If you ever have any questions or concerns about your
services or charges, we encourage
you to call and ask for our
compliance officer.

"Committed to Full Compliance"

THE GREATER HOUSTON NEUROSURGERY CENTER, P. A. 9200 New Trails Drive, Suite 100 The Woodlands, Texas 77381

NOTICE OF PRIVACY PRACTICES

THIS NOTICE DESCRIBES HOW HEALTH INFORMATION ABOUT YOU YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY,

THE PRIVACY OF YOUR HEALTH INFORMATION IS IMPORTANT TO US.

OUR LEGAL DUTY

We are required by applicable federal and state law to maintain the privacy of your healthcare information. We are also required to give you this Notice about our privacy practices, our legal duties, and your rights concerning your healthcare information. We must follow the privacy practices that are described in this Notice while it is in effect. This Notice takes effect 04/14/03, and will remain in effect until we replace it.

We reserve the right to change our privacy practices and the terms of this Notice, at any time, provided such changes are permitted by applicable law. We reserve the right to make the changes in our privacy practices and the new terms of our Notice effective for all healthcare information that we maintain, including healthcare information we create or received before we made the changes. Before we make a significant change in our privacy practices, we will change this Notice and make the new Notice available upon request.

You may request a copy of our Notice at any time. For more information about our privacy practices, or for additional copies of this new Notice, please contact us using the information listed at the end of this Notice.

USES AND DISCLOSURES OF HEALTH INFORMATION

We use and disclose healthcare information about you for treatment, payment, and healthcare operations. For example:

Treatment: We may use and disclose your healthcare information to a physician or other healthcare provider providing treatment to you.

Payment: We may use and disclose your healthcare information to obtain payment for services we provide to you.

Healthcare Operations: We may use and disclose your healthcare information in connection with our healthcare operations. Healthcare operations include quality assessment and improvement activities, reviewing the competence or qualifications of healthcare professionals, evaluating practitioner and provider performance, conducting training programs, accreditation, certification, licensing, or credentialing activities.

Your Authorization: In addition to our use of your healthcare information for treatment, payment, or healthcare operations, you may give us written authorization to use your healthcare information or disclose it to anyone for any purpose. If you give us an authorization, you may revoke it in writing at any time. Your revocation will not affect any use or disclosures permitted by your authorization while it was in effect. Unless you give us a written authorization, we cannot use or disclose your healthcare information for any reason, except those described in this notice.

To Your Family and Friends: We must disclose your healthcare information to you, as described in the Patient Rights section of this Notice. We may disclose your health information to a family member, friend, or other person to the extent necessary to help with your healthcare or with payment for your healthcare, but only if you agree that we may do so.

Persons Involved In Care: We may use or disclose your healthcare information to notify or assist in the notification of (including identifying or location) of a family member, your personal representative, or another person responsible for your care, or your location, your general condition, or death. If you are present, then prior to use or disclosure of your health information, we will provide you with an opportunity to object to such uses or disclosures. In the event of your incapacity or emergency circumstances, we will disclose health information based on a determination using our professional judgment disclosing only the healthcare information that is directly relevant to the person's involvement in your healthcare. We will also use our professional judgment and our experience with common practice to make reasonable inferences of your best interest in allowing a person to pick up filled prescriptions, medical supplies, x-rays, or other forms of healthcare information.

Treatment Alternative: We may use or disclose your healthcare information to provide you with information about treatment alternatives or other health related benefits and services that may be of interest to you.

Required by Law: We may use or disclose your healthcare information when we are required to do so by law.

Abuse or Neglect: We may disclose your healthcare information to appropriate authorities if we reasonably believe that you are a possible victim of abuse, neglect, domestic violence, or the possible victim of other crimes. We may disclose your health information to the extent necessary to avert a serious threat to your health or safety or the health or safety of others.

National Security: We may disclose your healthcare information to military authorities or the health information of armed forces personnel under certain circumstances. We may disclose to authorized federal officials health information required for lawful intelligence, counterintelligence, and other nation security activities. We may disclose to correctional institutions or law enforcement officials having lawful custody of protected healthcare information of an inmate or patient under certain circumstances.

Appointment Reminders: We may disclose your healthcare information to provide you with appointment reminders (such as voicemail messages, e-mail, postcards, or letters).

PATIENT RIGHTS

Access: You have the right to look at or get copies of you healthcare information, with limited exceptions. You may request that we provide copies in a format other than photocopies. We will use the format you request unless we cannot practicably do so. You must make a request in writing to obtain access to your healthcare information. You may obtain a form to request access by using the contact information listed at the end of this Notice. We will charge you a reasonable cost based fee for expenses, such as copiers and staff time. You may also request access by sending us a letter to the address at the end of the Notice.

Disclosure Accounting: You have the right to receive a list of instances in which we, or our business associates, disclosed your healthcare information for purposes other than treatment, payment, healthcare operations, and certain activities, for the last six (6) years, but not before April 14, 2003. If you request this accounting more than once in a 12-month period, we may charge you a reasonable cost based fee for responding to these additional requests.

Restriction: You have the right to request that we place additional restrictions on our use or disclosure of your healthcare information. We are not required to agree to these additional restrictions, but if we do, we will abide by our agreement (except in an emergency).

Alternative Communication: You have the right to request that we communicate with you about your healthcare information by alternative means or to alternative locations. You must make your request in writing. Your request must specify the alternative means or location and provide satisfactory explanation how payments will be handled under the alternative means or location you request.

Amendment: You have the right to request that we amend your healthcare information. Your request must be in writing and it must explain why the information should be amended. We may deny your request under certain circumstances.

Electronic Notice: If you receive this Notice on our Web site or by electronic mail (e-mail), you are entitled to receive this Notice in written form.

QUESTIONS AND/OR COMPLAINTS

If you want more information about our privacy practices or have questions or concerns, please contact us.

If your are concerned that we may have violated your privacy rights, if you disagree with a decision we made about access to your healthcare information, in response to a request you made to amend or restrict the use or disclosure of your healthcare information, or to have us communicate with you by alternative means or at an alternative location, you may complain to the U.S. Department of Health and Human Services. We will provide you with the address to file your complaint with the U.S. Department of Health and Human Services upon request.

We support your right to the privacy of your healthcare information. We will not retaliate in any way if you choose to file a complaint with us or with the U.S. Department of Health and Human Services.

Contact Officer: Robin Lillegard

Telephone: <u>281-364-9509</u> Fax: <u>281-364-0984</u>

Address: 9200 New Trails Dr., Suite 100, The Woodlands, Texas 77381

ACKNOWLEDGMENT OF RECEIPT

I hereby acknowledge NEUROSURGEY CEN	of	the	NOTICE	OF	PRIVACY	PRACTICES	OF	THE	GREATER	HOUSTON
PATIENT:			- 11 - 14 - 4			(Signatu	re)			
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9	 					(Parent/	Legal	Guar	dian of Pati	ent(Print)

____(Date)